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Attorney's Docket No.: US 1233/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : April 2, 2001
Donald G. LEBLANC :
Serial No.: 09/749,605 : **Initial Patent**
Filed: December 28, 2000 : **Examination Division**
For: NETWORK BASED SYSTEM FOR :
REAL-TIME TRADING OF PHYSICAL
COMMODITIES

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Dear Sir:

Responsive to the Notice to File Missing Parts of Application-Filing Date Granted
dated February 2, 2001, attached hereto are the following:

1. Executed Declaration and Power of Attorney;
2. Two executed Small Entity Status Declarations (Small Business Concern). Applicant claims Small Entity Status;
3. Twenty (20) sheets of formal drawings depicting Figures 1, 2(a), 2(b), 3, 4(a), 4(b), 5(a), 5(b), 5(c), 6(a), 6(b), 6(c), 7-8, 9(a), 9(b) and 10-13;

S.N.: 09/749,605

4. Copy of Notice to File Missing Parts of Application; and
5. A check in the amount of \$555.00 to cover the filing fee of \$355.00 multiple dependent claim fee of \$135.00, and the surcharge fee of \$65.00.

It is believed that no additional fee is due for this submission. Should that determination be incorrect, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment, to our Deposit Account No. 01-0433, and notify the undersigned in due course.

Should an official of the Patent Office have any questions or wish to discuss further this matter, please contact the undersigned at the telephone number provided below.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'D. Agarwal', written over a horizontal line.

DINESH AGARWAL
Attorney for Applicant(s)
Reg. No. 31,809

Law Office - Dinesh Agarwal, P.C.
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Alexandria, Virginia 22312
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DA/jv



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/749,605	12/28/2000	Donald G. LeBlanc	US 1241/00

CONFIRMATION NO. 4813

FORMALITIES LETTER



OC000000005731227

Law Office - Dinesh Agarwal, P.C.
Suite 330
5350 Shawnee Road
Alexandria, VA 22312

Date Mailed: 02/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/03/2001 FFANAEIA 00000082 09749605

01 FC:201	355.00 OP
02 FC:204	135.00 OP
03 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

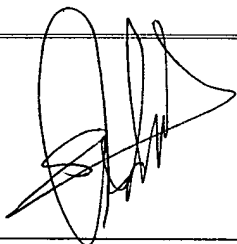
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$270.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke extending to the right.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE